IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In application of: Banerjee et al.	: Group Art Unit: Number
Serial No: Filed: Confirmation No.: **Title:** MONITORING THREAD USAGE TO DYNAMICALLY CONTROL A THREAD POOL	: Intellectual Property Law Department : International Business Machines Corp. : 11400 Burnet Road : Austin, Texas 78758

DATE:___ *10-16-03*

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of the one item required to be included with PTO-1449 is supplied herewith.

Respectfully submitted,

Marilyn Smith Dawkins

~~Amy J. Pattillo~~   MARILYN SMITH DAWKINS
~~Agent for Applicants~~
Registration No. ~~46,983~~ 31,140
~~(512) 347-7204~~   (512) 823-0094

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Serial No._____

Applicant: Banerjee et al._____

Filing Date:_____

Group:_____

Atty. Docket No. AUS920030729US1

Reference Designation   **U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Sub-class	Filing Date if Appropriate
_____ AA	US 2003/0115168 A1	6/19/2003	Mthods and Apparatus for Database Transaction Queuing	707	1	12/17/2001
_____ AB	US 6,542,467 B2	4/1/2003	Bandwidth Allocation System of Virtual Path in Communication Network of Asynchronous Transfer Mode	370	236	3/4/1999
_____ AC	US 2002/0156897 A1	10/24/2002	Mechanism for Servicing Connections by Disassociating Processing Resources from Idle Connections and Monitoring the Idle Connections for Activity	709	227	2/23/2001
_____ AD	US 6,393,477 B1	5/21/2002	System and Method for an Administration Server	709	223	5/25/2001
_____ AE	US 6,374,299 B1	4/16/2002	Enhanced Scalable Distributed Network Controller	709	227	1/26/2000
_____ AF	US 2001/0029548 A1	10/11/2001	Method and Apparatus for Handling Events Received at a Server Socket	709	250	4/6/2001
_____ AG	US 5,796,954	8/18/1998	Method and System for Maximizing the Use of Threads in a File Server for Processing Network Requests	395	200.6 1	10/13/1995
_____ AH	US 6,240,453 B1	5/29/2001	Managing Unused Resources in a Service Application	709	226	11/12/1998
_____ AI	US 5,790,809	8/4/1998	Registry Communications Middleware	395	200.5 8	11/17/1995

| | AJ | US 5,761,507 | 6/2/1998 | Client/Server Architecture Concurrent Servers Within a Server with a Transaction Manager Providing Server/Connection Decoupling | 395 | 684 | 3/5/1996 |

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

_____ BA   General Programming Concepts: Writing and Debugging Programs
http://www16.boulder.ibm.com/pseries/en_US/aixprggd/genprogc/understanding_
threads.htm
Accessible on 9/11/2003

Examiner: Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance
with MPEP 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.